ESCHEAT HAPPENS!!

HOW NOT TO BE UP A CREEK WHEN IT DOES.



HISTORY

Romans

England

Magna Carta Feudal Period

United States

1940's	Escheatment laws begin
1954	First Uniform Unclaimed Property Law passed
1965	Texas vs New Jersey
1966	2 nd Uniform Unclaimed Property Law passed
1981	3 rd Uniform Unclaimed Property Law passed
1985	4 th Uniform Unclaimed Property Law passed
2014	Petition for next uniformed law established



Return

to the rightful owner

Return

to the rightful owner

Return

to the rightful owner

GENERAL FILING DATES – MAY 1

Mostly life insurance companies

Tennessee

Florida

Vermont

GENERAL FILING DATES - NOVEMBER 1

Most non-life insurance industries Alabama Alaska Hawaii Idaho lowa Kentucky

Louisiana Mississippi Oregon **Rhode Island** South Carolina Utah Washington Wisconsin Wyoming

ODD BALLS

California final –

June 1- June /15 -December 1- December 15

Connecticut –

March 31

Delaware –

March 1, April 10, November 10, December 20

Guam –

January 31

Michigan –

July 1

Puerto Rico final –

December 10, December 20

New York –

February 10, February 15, March 10, September 10, October 10, November 10

Pennsylvania –

April 15

Texas –

July 1, November 1

PAYROLL PROPERTIES

Pay checks

Commission Checks

Pay Cards

PAYROLL PROPERTIES

Paychecks

Property/Dormancy Code: MS01

Dormancy Period: 1 year

2 years (ND, PA) 3 years (KY, MA, MD, MO, NY, OR) 5 years (DE, MS, PR)

PAYROLL PROPERTIES

Commissions

Property/Dormancy Code: MS02

Dormancy Period:

1 year (AL, AR, AZ, CA, CT, DC, FL, GA, HI, IA, ID, KS, ME, MI, MT, NC, NE, NH, NM, NV, OH, RI, SC, SD, TN, UT, VA, VI, WA, WI, WV)

2 years (ND, PA)

3 years (AK, GU, IN, KY, MA, MD, MN, MO, NJ, NY, OR, TX, VT)

5 years (CO, DE, IL, LA, MS, OK, PR, WY)

PAYROLL OPTIONS

Pay cards





Filing Requirements:

Dormancy periods: 3 year for payroll 3 years for commissions

Filing dates: vary by industry type corporate property types due 3/10



Reporting Requirements:

Reporting methods: Paper, CD, Floppy Disk, Internet

Electronic filing requirement: 25 or more records

Reporting format: NAUPA text file NYCD text file HDT text file



Reporting Requirements

Aggregate: amount: under \$20

Due diligence requirement: all amounts

Due diligence time frame: 365 to 90 days before file date



Reporting Notes

Property type codes: Accepts Naupa codes (MS01) Accepts NY codes (8A) Advertising requirement: Generally for items \$50+ Primary publications should be in English Advertising time frame: varies depending on industry

NEW YORK FORMS

Form AC 2709 Verification & Checklist (holder form)



AC2709 (Rev. 05/11)

must be included with every report

I certify that I am a duly authorized officer of the above named organization. To the best of my knowledge and belief this report is a true and complete statement of all abandoned property held by, or owing by, this organization as of the report period end date.

Payment Type: Electronic Totals: Cash Check Issues **1** Securities Shares

Signature

does not require notarization

RESERVED FOR USE OF STATE COMPTROLLES

Amount Received	Date Received	Ack, Number	Media Type	Class	Report Sequence	Year
			incara . Ipe		thep are build and the	
omments						

Be sure to complete the "checklist" sheet, indicating types and amounts of property being reported. Also, please verify that the property type(s) used on this form are the same as the ones used in your detailed Report of Abandoned Property. Detailed instructions for completing this form are in the Handbook for Reporters of Uncalimed Funds.

NEW YORK FORMS

Form AC 2709

Verification & Checklist

(holder form)

must be included with every report

does not require notarization

1.	ACCOUNT BALANCES E	Period		Cash	Shares
۹.	Demand Deposit Account(s)	3 yrs	1A.	\$	
3.	Savings Accounts (includes Club Accounts, Security Deposits &				_
	Retirement Accounts) Time Deposit Accounts	3 yrs 3 yrs	1B. 1C.	\$	-
	Time Deposit Accounts Money on Deposits to Security Funds (if separate from A & B)	3 yrs 3 yrs	1C.	\$S	-
	Unidentified Deposits (if separate from A & B) & Suspense Accounts	3 yrs	1E.	\$	-
	Escrow Funds (Mortgages, Performance Guarantee, Surety Bonds, etc.)	3 yrs	1E.	\$	
i.,	Credit Balances Arising from Loans (includes Liquidated Mortgages,				
	Consumer Loans, Remainder of Collateral Amounts, etc.)	3 yrs	1G. 1H.	\$	-
١.	Credit Balances; Consumer Credit Accounts Credit Balances in Trading & Investment Accounts with Trusts, Brokers,	3 yrs	1H.	\$	-
	Investment Firms, etc. (Including O/S checks issued to customers)	3 yrs	11.	s	
	Credit Balances or Cash Due Renters of Safe Deposit Boxes	3 yrs	1J.	ŝ	
2.	NEGOTIABLE INSTRUMENTS				
÷	Certified Checks	3 yrs	2A.	\$	
s	Cashler, Teller Checks	3 yrs	2B.	\$	
5.	Bank Money Orders Treasurer & Registered Checks	3 yrs 3 yrs	2C. 2D.	s	
	Drafts & Bank Traveler's Checks	3 yrs	2E.	\$	-
3	Warrants	3 yrs	2F.	s	
э.	Outstanding Checks Issued to Vendors (Accounts Payable)	3 yrs	2G.	\$	
4.	Travelers Checks (non-bank)	15 yrs	2H.	\$	
	Licensed Money Transmitter Receipts & Money Orders (non-bank) State Checks	5 yrs 1 yr	21. 2J.	\$	
			23.	°	
EC	PAYING AGENTS, FIDUCIARY, MUTUAL FUNDS, AMERICAN DEPOSITOF CEIPT ACCOUNTS AND CORPORATION DISBURSING DIRECTLY TO THEIR	ROWN SHA	REHOL	DERS	
	Cash Dividends (other than ADR)	3 yrs	34	\$	
3.	Bond Interest (other than ADR)	3 yrs	3B.	s	
	Stock Dividends (other than ADR)	3 yrs	3C.	ŝ	3P
5.	Distributions from Ownership of Interest (other than ADR), includes \	0.000	100000000	120	
	Redemption Values, Warrants, Underlying & Unexchanged Shares &		1.000	-	
	Accrued Dividends Cash Dividends (ADR)	3 yrs	3D. 3E	\$	_ 3Q
	Stock Dividends (ADR)	3 yrs 3 yrs	3E.	\$\$	38
б.	Other Distributions Resulting From Ownership of Interest (ADR)	3 yrs	3G.	ŝ	35
٩	Bond Redemption	3 yrs	3H.	\$	3T
	Mutual Fund & Dividend Reinvestment)	3 yrs	31.	\$	30
	INVESTMENTS, TRADING (BROKER/DEALER) AND BANK TRUST DEPAR RESENTING DISTRIBUTIONS RECEIVED FOR KNOWN AND UNKNOWN O Cash Over Receipts (Dividends & Other) Bond Interest Over Receipts	3 yrs 3 yrs	4A. 4B.	s	= 15
3.	Cash Over Receipts (Dividends & Other) Bond Interest Over Receipts Stock Over Receipts (Dividends & Other) Other Over Receipts	3 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D.	\$ \$ \$ \$	4P 4Q 4R
L. 3. 3.	Cash Over Receipts (Dividends & Other) Bond Interest Over Receipts Stock Over Receipts (Dividends & Other)	3 yrs 3 yrs 3 yrs	4A. 4B. 4C.	s s	4P 4Q 4R 4S
A. B. D.	Cash Over Receipts (Dividends & Other) Bond Interest Over Receipts Stock Over Receipts (Dividends & Other) Other Over Receipts Unidentified Overaces	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D. 4E.	\$ \$ \$ \$	4Q 4R
5. 5.	Cash Over Record (Dividendi & Other) Bond Interest Over Receipts Diock Over Receipts Underführed Overages Underführed Overages Other Over Receipts Underführed Overages Underführed Overages Underführed Overages Underführed Overages Underführed Overages Underführed Overages Underführed Overages	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D. 4E. 4F.	\$\$ \$\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	4Q 4R
5. 5.	Cash Over Receipte (Dividenti & Other) Bond Interest Over Receipte Stock Over Receipte Other Over Receipte Other Datifications Resulting from Overeship Interest or Debt Obligation REFUNDS REFUNDS Reparations Resulting from Overeship Interest or Debt Obligation REFUNDS	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs	4A. 4B. 4C. 4D. 4E. 4F. 5A. 5B.	\$\$ \$\$ \$\$ \$\$ \$\$	4Q 4R
	Cash Over Receipte (Dividends & Other) Band Unter Scher Receipte Stock Over Receipte Unidentfind Overages Other Over Receipte Unidentified Overages Other Distributions Resulting from Ownership Interest or Debt Obligation REFUNDS Unity Service Dapatit Unity Service Dapatit Refunds Due on Overcharges Not Furnished Refunds Due on Overcharges by Utility Companies	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs	4A. 4B. 4D. 4E. 4F. 5A. 5B.	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	4Q 4R
S. S	Cash Over Record (Dividend & Other) Bond Interest Over Receipt Stock Over Receipts Unter Over Receipts Unter Over Receipts Unter Development Development Over Development Development ReFUNDS Utility Service Deposit Advance Payments for Utility Services Not Furnished Refunds Dev on Overcharges by Utility Companies	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs	4A. 4B. 4D. 4E. 4F. 5A. 5B. 5C. 5D.	\$\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$_\$ \$	4Q 4R
	Cash Over Receipte (Dividends & Other) Band Unter Scher Receipte Stock Over Receipte Unidentfind Overages Unidentfind Overages Other Distributions Resulting from Ownership Interest or Debt Obligation REFUNDS Unity Service Dapatit Unity Service Dapatit Refunds Use on Overcharges Not Furnished Refunds Use on Overcharges Not Furnished	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs	4A. 4B. 4D. 4E. 4F. 5A. 5B.	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	4Q 4R
5. C.S.C.	Cash Over Receipte (Dividendi & Other) Bond Interest Over Receipte Stock Over Receipte Unit Over Receipte Unit Over Receipte Unit Over Distributions Resulting from Ovnership Interest or Debt Obligation ReFUNDS Unity Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Anthron Deby Insulance Companies Anthron Deby Insulance Companies	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs	4A. 4B. 4D. 4E. 4F. 5A. 5B. 5C. 5E.	\$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	4Q 4R
S. S	Careb Over Records (Dividende & Other) Bond Interest Over Records Dock Over Records (Dividende & Other) Other Over Records United Overages Other Over Inductions Recultating from Overership Interest or Debt Obligation REFUNDS Utility Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due by Insurance Companies Refunds Due by Insurance Companies	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D. 4D. 4F. 5A. 5C. 5D. 5E. 5F.	5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	4Q 4R
	Cash Over Receipte (Dividente & Other) Bond Interest Over Receipte Ditor Over Receipte Ditor Over Receipte Dither Over Receipte Dither Over Receipte Dither Distributions Resulting from Ownership Interest or Debt Obligation Refunds Utility Service Deposit Adarace Payments for hanges hy Utility Companies Refunds Due for Underwerde South Companies Amounts Due for Underwerde Goods and/or Services Retained Bue for Inderwerde Goods and/or Services Retained Due for Underwerde Goods and/or Services Retained Due for Underwerde Coots and/or Services	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D. 4E. 4F. 5A. 5B. 5C. 5C. 5E. 5F. 6A.	S S	4Q 4R
	Cash Over Receipte (Dividendi & Other) Bond Intervet Over Receipte Stock Over Receipte Uniter Over Receipte Uniter Over Receipte Uniter Over Receipte Other Distributions Resulting from Ovnership Interest or Debt Obligation ReFUNDS Unity Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Refunds Due on Overcharges by Utility Services Refute Refunds Due on Overcharges by Utility Companies Refute Due on Overcharges by Utility Companies Refute Company Services Refute to the Services Refute to the Services Refute to the Services Refute to the Services Refute to the Services Refute to the Services Refute to the Services Refute to the Services Refute to th	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 2 yrs 3 yrs 3 yrs 3 yrs	4A. 4B. 4C. 4D. 4F. 5A. 5C. 5C. 5C. 5C. 5C. 5F. 6A. 6B.		4Q 4R
	Cash Over Receipte (Dividente & Other) Bond Interest Over Receipte Stock Over Receipte Ditter Over Receipte Ditter Over Receipte Ditter Over Receipte Ditter Over Receipte Ditter Over Receipte Ditter Distributions Resulting from Ownership Interest or Debt Obligation Refunds Device Deposit Avarage Payment Distributions Resulting from Ownership Interest or Debt Obligation Refunds Due for Unity Services Not Flymished Avarage Payment Distributions Resulting Companies Amounts Due for United Services Services Returds Due for Underviewed Goods and/or Services Returds Due for Underviewed Coords and/or Services Returds Due for Due f	3 yrs 3 yrs	4A. 4B. 4C. 4E. 5A. 5B. 5C. 5E. 5F. 6A. 6B. 6D.	S	4Q 4R
	Cash Over Receipt (Dividenti & Other) Bond Intervet Over Receipt Stock Over Receipt Uter Over Receipt Uter Over Receipt Uter Over Receipt Other Distributions Resulting from Ovnership Interest or Debt Obligation REFUNDS Utility Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Refunds Due Official Companies Refunds Due on Overcharges and/or Services Refats Beath Claims Death Claims	3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 3 yrs 2 yrs 2 yrs 3 yrs	4A. 4B. 4C. 4E. 5B. 5B. 5C. 5E. 5F. 6A. 6B. 6C. 6E.		4Q 4R
	Carls Over Records (Dividende & Other) Bond Inferent Over Records Disch Churer Records Uther Over Records Uther Over Records Uther Over Records Uther Over Records Dischart (Dividende & Other) Other Over Records Uther Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due for Underlages by Utility Companies Returns Amounts Due for Underlages by Utility Companies Returns INSURANCE PROCEEDS INSURANCE PROCEEDS Units of Companies Matured Endowments Death Claims Amounts Due Under Policies of Insurance Other than Life Amounts Due Under Policies of Insurance Other Terms	3 yrs 3 yrs	4A. 4B. 4C. 4E. 5A. 5B. 5C. 5E. 5F. 6A. 6B. 6D.		4Q 4R
	Cash Over Receipt (Dividenti & Other) Bond Intervet Over Receipt Stock Over Receipt Uter Over Receipt Uter Over Receipt Uter Over Receipt Other Distributions Resulting from Ovnership Interest or Debt Obligation REFUNDS Utility Service Deposit Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Refunds Due Official Companies Refunds Due on Overcharges and/or Services Refats Beath Claims Death Claims	3 yrs 3 yrs	4A. 4B. 4C. 4E. 5B. 5B. 5C. 5E. 5F. 6A. 6B. 6C. 6E.		4Q 4R
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5. C.S.C.D.E. S. C.S.C.D.E. S.	Cash Over Records (Dividende & Other) Bond Interest Over Receipte Dotter Over Receipte Dotter Over Receipte Dotter Over Receipte Dotter Distributions Resulting from Ownership Interest or Debt Obligation Refunds Device Deposit Advance Payments for Utility Services Not Furnished Refunds Due for Undelivered Goods and/or Services Results Due for Undelivered Goods and/or Services Results UNURANCE PROCEEDS INJURANCE PROCEEDS Uniting Glaperamuted) Contracts Matured Endowments Death Claims Annuales Annuales Retaried Due Annuales Accounts or similar distribution Accounts Due You Courts AND GOVERNMENTAL UNITS FUNDS Courts Due You Courts AND GOVERNMENTAL UNITS Trust Funds	3 Aus 3 Aus	4A. 4B. 4D. 4E. 4F. 5B. 5C. 5D. 5E. 5F. 6A. 6B. 6C. 6F. 6G. 7A.	S S	4Q 4R
1.3.3.0.1.1.5. 1.3.3.0.1.1.5. 1.3.3.0.1.1.5.	Cash Over Receipte (Divisional & Other) Bond Interest Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Distributions Resulting from Ownership Interest or Debt Obligation Results Utility Service Deposit Activity Device Devices bill Companies Activity Device Deposit Activity Device Devices Devices Activity Device Device Devices Activity Device Devices Devices Activity Device Devices Devices Activity Devices Activity Devices Devices Activity Devices Activity Devices Devices Activity Devices Activity Devices Devices Devices Accounts on similar distribution Accounts Device Device Devices Devices Accounts Devices Accounts on Similar distribution Accounts Device Devices Devices Devices Devices Accounts on Similar distribution Accounts Device Device Devices Devices Devices Devices Accounts Devices Accounts Activity Device Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Device Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Devices Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Devices Devices Devices Devices Devices Accounts Devices Accounts Activity Devices Devices Devices Accounts Devices Dev	3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	4A. 4B. 4D. 4E. 4F. 5B. 5C. 5D. 5E. 5F. 6A. 6B. 6C. 6F. 6G. 7A.		4Q 4R
1.3.3.0.E. 5. (.3.3.0.E. 5. (.3.3.0.E. 5.	Cash Over Receipt (Dividendi & Other) Bond Intervet Over Receipt Bitto Content Over Receipt Bitto Content Content Divide Content Content Divide Content Content Content Divide Content Refunds Due on Overcharges by Utility Companies Refunds Due on Overcharges and/or Services Refates Budget Content Contents Matured Exposurements Death Claims Announds Due free Policity Orems Annueles Refates Refates Refates Accounts, Benefits Access Accounts or similar distribution FULDS OWING BY COURTS AND GOVERNMENTAL UNITS Truit Funds Funds Tom	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	4A, 4BC, 4DE, 44E, 5A, 55C, 55E, 55F, 6A, 66E, 66, 7A, 78C, 77B, 70C, 77B, 70C, 77B, 70C, 70C, 70C, 70C, 70C, 70C, 70C, 70C	s	4Q 4R
A.3.00 E. A.3.00 E. A.3.00 E. 3.	Cash Over Receipte (Divisional & Other) Bond Interest Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Receipte Didnet Over Distributions Resulting from Ownership Interest or Debt Obligation Results Utility Service Deposit Activity Device Devices bill Companies Activity Device Deposit Activity Device Devices Devices Activity Device Device Devices Activity Device Devices Devices Activity Device Devices Devices Activity Devices Activity Devices Devices Activity Devices Activity Devices Devices Activity Devices Activity Devices Devices Devices Accounts on similar distribution Accounts Device Device Devices Devices Accounts Devices Accounts on Similar distribution Accounts Device Devices Devices Devices Devices Accounts on Similar distribution Accounts Device Device Devices Devices Devices Devices Accounts Devices Accounts Activity Device Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Device Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Devices Devices Devices Devices Accounts Devices Accounts Devices Accounts Activity Devices Devices Devices Devices Devices Accounts Devices Accounts Activity Devices Devices Devices Accounts Devices Dev	3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	4A. 4B. 4D. 4E. 4F. 5B. 5C. 5D. 5E. 5F. 6A. 6B. 6C. 6F. 6G. 7A.		4Q 4R
ABCOLLE & ABCOLLE & ABCOLLE & ABCOLLE	Cash Over Receipte (Dividende & Other) Bond Interest Over Receipte Dotter Cover Receipte Ditter Cover Receipte Ditter Cover Receipte Ditter Cover Receipte Ditter Datifications Resulting from Ownership Interest or Debt Obligation REFUNDS Utility Service Deposit Advance Payments for Utility and Services Ref Payments Refunds Due for Undelivered Goods and/or Services Amounts Due for Undelivered Goods and/or Services Refunds A Other Amounts Due Under Policy Terms Annulates Refunds & Other Amounts Due Under Policy Terms Refunds & Other Amounts Due Under Policy Terms Refunds & Actor Amounts Due Under Policy Terms Trust Funds Bail Funds Bail Funds Bail Funds Bail Funds Bail Funds	 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	4A. 4BC. 4DE. 4F. 5A. 5BC. 5DE. 5F. 6A. 8CC. 6E. 6F. 6G. 7A. 77D.	S	4Q 4R
	Cash Over Receipt (Dividendi & Other) Bond Intervet Over Receipt Bitto Control Control Dama Cash Receipt Dama Cash Recei	3 Au 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	4A. 4B. 4D. 4D. 4F. 5A. 5C. 5E. 5F. 6B. 6E. 6F. 6G. 7A. 77D. 77X. 8A.		4Q 4R
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A 300 E 5 A 300 E 5 6 A 300 E 5 7 A 300 C 8 A 3	Cash Over Receipte (Dividende & Other) Bond Intervet Over Receipte Stock Over Receipte Divide Over Receipte Divide Over Receipte Divide Over Receipte Divide Over Receipte Divide Over Receipte Divide Over Destributions Resulting from Ownership Interest or Debt Obligation Refunds Due on Overcharges by Utility Companies Refunds Due Under Policy Contracts Maturet Endosiments Maturet Endosiments Refunds Scher Amounts Due Under Policy Terms Refunds Seet Accounts, Benefits Access Accounts Initial distribution Accounts DIVIDE VOUNCE BY COURTS AND GOVERNMENTAL UNITS Funds for Support of Spouse or Child Contra Turs Funds Ball Funds Funds for Support of Spouse or Child Contra Child Contractions, Averdis Data Scher Amounts Due Scher Scher Scher Statistical Activity Spouse or Child Contra Child Contractions, Averdis Data Scher Amounts Due Scher Sc	2020 202020 2020200 20202000 20202000000	4A. 4B. 4F. 558.555.55. 6A. 66.00.66.67. 6G. 7A.77.77. 8A.888.0		4Q 4R
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	Cash Over Receipt (Dividende & Other) Bond Interest Over Receipt Bond Diverse Over Receipt Bond Diverse Over Receipt Bond Diverse Derest Diver Over Receipt Diver Destributions Resulting from Ownership Interest or Debt Obligation REFUNDS Utility Service Deposit Artunds Due on Overcharges by Utility Companies Refunds Due Under Policy Terms Anound Due Under Policies of Insurance Other than Life Refunds Softer Announds Due Under Policy Terms Refunds Seet Accounts, Benefits Access Accounts Ball Funds Refunds Seet Accounts, Benefits Access Accounts DINDE YOUNG BY COURTS AND GOVERNMENTAL UNITS Funds for Support of Spouse or Child Company THER AMOUNTS AND SECURITES Wages, Payroll, Balaries, Commissions, Pension Payments Unders Accounts Development Companies Surplus from Sale of Piedged Property Set Property in Classformer's Trading Investment Trust Accounts	2020222 2012222 2012222 20122 201222 201222 201222 201222 201222 201222 201222 201222 201222 20122 201222 201222 201222 201222 201222 201222 201222 201222 201222 201222 20122 2	4A. 4BC. 4DC. 4F. 5A. 5BC. 5DC. 5DC. 5F. 6A. 6BC. 6DC. 6F. 6G. 7A. 77D. 77X. 8A. 88BC. 85C. 85C. 85C. 85C. 85C. 85C. 85C. 85		40 46
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	Cash Over Receipt (Dividenti & Other) Bond Interest Over Receipt Other Receipt (Dividenti & Other) Dividentific Oversage Understitutions Resulting from Oversitivp Interest or Debt Obligation REFUNDS Understitutions Resulting from Oversitivp Interest or Debt Obligation Refunds Due on Overcharges by Utility Companies Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Advance Payments for Utility Services Not Furnished Refunds Due on Overcharges by Utility Companies Announts Due for Undelwered Godes and/or Services Retates: INSURACE PROCEEDS INSURACE PROCEEDS That Claims Over Company Company Contracts Matured Endowments Death Claims Over Contract Due Under Policy Terms Announts Due Under Policy Terms Announts Due Under Policy Terms Accounts Ball Funds Funds for Support of Spouse or Child Company Company Contracts Death Over School Avertics Other OTHEER ADDUCTION SAND SECURITIES Wages, Payroll, Balaries, Commany Announts Over Disease Finance Companies Approver State Science Finance Companies Approver Science Finance Companies Appr	2000000000000000000000000000000000000	4A. 48C. 44E. 5A. 55C. 55 55 56 66 56 56 56 56 56 56 56 56 56		40 43
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NEW YORK FORMS

Form AC2686 (property form)

used for reports with 24 or less properties

		ORK – OFFICE OF THE STATE COMPTROLLE Name of Reporting Organization		DONED PROPERTY address of Reporting	Organization	City	State Zip	Page of For The Period Ending , 20
			First Name (10)	M.I. Suffix (
Lot No.	1	Owner Address 1 (30)		er Address 2 (30)		Owner City (20)	State (2) Zip (9)	Country if Not USA
Reg.		Soc. Sec. No./Empl. ID No. Property Type (2	 Property ID Number (2 	20)	Date (MMDDYY) Initial Am \$	s Ou	moval Indicator (If Applicable, E P Otherwise mer Claimed Reduced	e R to Zero Yes
		Description of Security (70)				CUSIP Number of Se	ecurity No. of Shares or De	enomination Method of Transfer
	2	2003.	irst Name (10)	M.I. Suffix				
Lot No.	2	Owner Address 1 (30)		er Address 2 (30)		Owner City (20)	State (2) Zip (9)	Country if Not USA
Reg.		Soc. Sec. No./Empl. ID No. Property Type (2	 Property ID Number (2 	20)	Date (MMDDYY) Initial Amo \$	s 04	moval Indicator (If Applicable, E P Otherwise rner Claimed Reduced	e R to Zero Yes
10210		Description of Security (70)				CUSIP Number of Se	ecurity No. of Shares or De	enomination Method of Transfer
	3		First Name (10)	M.I. Suffix (La. a. 15	
Lot No.	3	Owner Address 1 (30)		er Address 2 (30)		Owner City (20)	State (2) Zip (9)	Country if Not USA
Reg.		Soc. Sec. No./Empl. ID No. Property Type (2	2) Property ID Number (2	20)	Date (MMDDYY) Initial Am	s Ou	moval Indicator (If Applicable, E P Otherwise mer Claimed Reduced	e R to Zero Yes
		Description of Security (70)				CUSIP Number of Se	ecurity No. of Shares or De	enomination Method of Transfer
	22		First Name (10)	M.I. Suffix (
Lot No.	4	Owner Address 1 (30)		er Address 2 (30)		Owner City (20)	State (2) Zip (9)	Country if Not USA
Reg.		Soc. Sec. No./Empl. ID No. Property Type (2	 Property ID Number (2 	20)	Date (MMDDYY) Initial Am S	s. Ou	moval Indicator (If Applicable, E P Otherwise rner Claimed Reduced	e R to Zero Yes
		Description of Security (70)				CUSIP Number of Se	ecurity No. of Shares or De	enomination Method of Transfer
	5	Owner Last Name (20) Owner Address 1 (30)	First Name (10)	M.I. Suffix (ate a construction of the construction	0	104-4-(0) 170-(0)	In the Hard State of the Hard State
Lot No.	9			er Address 2 (30)		Owner City (20)	State (2) Zip (9)	Country if Not USA
Reg.		Soc. Sec. No./Empl. ID No. Property Type (2	2) Property ID Number (2	20)	Date (MMDDYY) Initial Am \$	s ow	moval Indicator (If Applicable, E P Otherwise mer Claimed Reduced	e R to Zero Yes
		Description of Security (70)				CUSIP Number of Se	ecurity No. of Shares or De	enomination Method of Transfer



Contact information

New York State Office of the State Comptroller Office of Unclaimed Funds 100 State Street, Remittance Control, 2nd Floor Albany, NY 12236 800-221-9311 nysrpu@osc.state.ny http://www.osc.state.ny.us/ouf/oufhandbook/index.htm



Filing Requirements:

Dormancy periods: 1 year for payroll 3 years for commissions

Filing dates: April 30 – Life Insurance Companies October 31 – All other industries



Reporting Requirements

Reporting methods: Paper, CD, DVD, Internet

Electronic filing requirement: 20 or more records



Reporting Requirements

Aggregate amount: under \$20

Due diligence requirement: \$50 or more

certified mail with return receipt

Due diligence time frame: 120 to 60 days before file date

NEW JERSEY FORMS

Form UP-1

Holder Information

(holder form)

must be included with every report

does not require notarization

UP-1 08-10 Phone (609) 292-9200 Fax (609) 984-0593	Departmen Unclaimed Pro P.O.	of New Jersey t of the Treasury perty Administration Box 214	
Fax (609) 984-0393	UNCLAIMED PROPERTY I	Jersey 08695-0214 REPORT-HOLDER INFORMATI	ON
		older Packet reporting instructions)	
Personal Property Abandoned a or		Holder ID #	
Life Insurance Property Aband	oned as of: December 31,	Holder's Federal ID #	
Holder Name & Mailing Add	dress	Agent Name:	
		Report Contact:	
	Telepho	one number:	
	Fax nu	mber:	
	State of	f Incorp:	
	Date of	Incorp:	
Contact Mailing Address:			
Report Contact E-mail Address			
		h a list of the names and Federal Tax	ID numbers of those commenies
	eld the property, list the prior name(ers of property if you are a successor s) and Federal Tax ID Number(s):	
Electronic Media-e-mailed	Electronic Media-on CD	Paper Report UP-2	(20 properties or less)
REPORT TOTAL(S) CASH	SECURITIES	NUMBER OF ITEMS	NUMBER OF PAGES/MEDIA
s			
Securities registered in the CERTIFICATION: I hereby certify that this rep.	ort was prepared on	address : P.O. Box 214, Trento and is a true and accurate	statement of all unclaimed property
		ite interest/income/dividends to the illy false, I am subject to punishmediate	he date of this report. I am aware ment according to the law.
Signature:	Title:		Date:
	FOR OF	FICE USE ONLY	
Report Status:	Cash Remitted: S	Securities Remit	ted:
Receipt IDs			
Report ID:	Date:	Employee:	
Owners Added Date:	Employee:	Cleared Date:	
		Cleared Dates	Employee:

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NEW JERSEY FORMS

Form UP-1S

Safe Deposit Report – Holder Information

(holder form)

must be included with every report

does not require notarization

during the time in which you held t Electronic Media Pape REPORT TOTAL CASH \$ IF YOU ARE AUCTIO CERTIFICATION: 1 hareby certify that this report was close of the report cycle, updated fa	D number(s) of all previous holders - the property, list the prior name(s) ar r Report UP-2 (20 prope NUMBER OF BOXES NING SAFE DEPOSIT BOX COI r prepared on and or appropriate interest/income/divid y false, 1 am subject to punishment (nd Federal Tax ID Number(s): rties or less) NUMBER OF PAGES/MEDIA NTENTS, PLEASE ATTACE is a true and accurate statement not so the date of this report. I	-
during the time in which you held t Electronic Media Pape REPORT TOTAL CASH \$	t Report UP-2 (20 prope NUMBER OF BOXES NING SAFE DEPOSIT BOX CO	nd Federal Tax ID Number(s): rties or less) NUMBER OF PAGES/MEDIA NTENTS, PLEASE ATTACE	
during the time in which you held t Electronic Media Pape REPORT TOTAL CASH \$	he property, list the prior name(s) ar r Report UP-2 (20 prope NUMBER OF BOXES	nd Federal Tax ID Number(s): rties or less) NUMBER OF PAGES/MEDIA	-
during the time in which you held t Electronic Media Pape REPORT TOTAL CASH	r Report UP-2 (20 prope	nd Federal Tax ID Number(s): rties or less) NUMBER OF	
during the time in which you held t Electronic Media Pape REPORT TOTAL	r Report UP-2 (20 prope	nd Federal Tax ID Number(s): rties or less) NUMBER OF	
during the time in which you held t Electronic Media Pape	the property, list the prior name(s) ar	nd Federal Tax ID Number(s): rties or less)	
during the time in which you held t	the property, list the prior name(s) ar	nd Federal Tax ID Number(s):	
List the name(s) and Federal Tax II	D number(s) of all previous holders	of property if you are a success	or If you have changed your name
	by subsidiary companies, attach a l		
		State of Incorporation:	·
		Fax number:	
		Telephone Number:	
Holder Name & Mailing Address		Report Contact:	
Business Code:	Agent Name		
Property Abandoned as of: June 30	n F	folder ID #	
UNC	LAIMED PROPERTY SAFE DE	POSIT REPORT-HOLDER	INFORMATION
Fax (609) 984-0593		Jersey 08695-0446	
Phone (609) 292-9200		ned Property Box 446	

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NEW JERSEY FORMS

Form UP-2 (property form)

used for reports with 19 or less properties

UNCLAIMED PROPERTY REPORT – OWNER INFORMATION								
OLDER		Page	e of	For Perio	d Ended	20		
OWNER LAST NAME	FIRS	T NAME	MIDDLE NAM	IE PREFIX	SUFFIX	OWNER TITLE		
OWNER STREET ADDR	RESS	C	ITY	STATE	ZIP CODE	COUNTY		
OWNER ID/SS#	OWNER BIR	TH DATE	TRANSACTI	ON DATE	PI	ROPERTY TYPE CODE		
CASH REPORTED	STOC	K ISSUE NA	ME		C.U.S.I.P. #	No. of SHARES		
ACCOUNT NO	CHECK NO.	RELATI	IONSHIP CODE	e own	ER TYPE	# of OWNERS		
DATED DATE OF REMITTED SECURITIES	TRANSFER METHOD	OV CERTIF	WER FICATE NO.		CHANGED NAME	UNEXCHANGED C.U.S.I.P. #		
DESCRIPTION								
OWNER LAST NAME	FIRS	T NAME	MIDDLE NAM	IE PREFIX	SUFFIX	OWNER TITLE		
OWNER STREET ADDR	RESS	C	ITY	STATE	ZIP CODE	COUNTY		
OWNER ID/SS#	OWNER BIR	TH DATE	TRANSACTI	ON DATE	Pf	ROPERTY TYPE CODE		
CASH REPORTED	STOC	K ISSUE NA	ME		C.U.S.I.P. #	No. of SHARES		
ACCOUNT NO	CHECK NO. I	RELATIONS	HIP CODE	OWNER	TYPE	# of OWNERS		
DATED DATE OF REMITTED SECURITIES	TRANSFER	OV		UNEX	CHANGED NAME	UNEXCHANGED C.U.S.I.P. #		



Contact information

Unclaimed Property

Attn: Report Section

50 Barrack Street,

6th Floor

Trenton, NJ 08695-0214

609-292-9200

nj.unclaimedreportsinquiries@treas.state.nj.us http://www.unclaimedproperty.nj.gov/reporting-info.shtml



What method are you currently using to file?

Do you think you are filing correctly or are you just told to do it a certain way?

Has your company been audited for unclaimed property?

What are you biggest challenges as a holder?

Did the job get dumped on you?

THANKS SO MUCH!

Susan Vance

Compliance Officer, FSITrack

Susan@fsi-inc.com

www.fsi-inc.com

800.969.7979